



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/923,781         | 08/06/2001          | Graham Thirsk         | CNNX117871             |

CONFIRMATION NO. 9384

## FORMALITIES LETTER



\*OC000000006578883\*

263  
CHRISTENSEN, O'CONNOR, JOHNSON, KINDNESS, PLLC  
1420 FIFTH AVENUE  
SUITE 2800  
SEATTLE, WA 98101-2347

Date Mailed: 09/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 420.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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65.00 00



MIM

BOX MISSING PARTS

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: G. Thirsk Attorney Docket No.: CNNX117871  
Application No.: 09/923,781 Group Art Unit: 2161  
Filed: August 6, 2001 Examiner: --  
Title: SYSTEM AND METHOD FOR ADAPTIVE TRANSMISSION OF  
INFORMATION

TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION/  
REQUEST FOR RECORDATION OF ASSIGNMENT

Seattle, Washington 98101

November 19, 2001

TO THE COMMISSIONER FOR PATENTS:

A. Transmitted herewith are the following:

- X 1. An executed Combined Declaration and Power of Attorney.
- X 2. An Assignment of the invention to Connex MD, Inc. A Recordation Form Cover Sheet prepared in accordance with 37 C.F.R. § 3.31 is attached to the Assignment, along with a separate check for the recordation fee. Please record this Assignment in accordance with 37 C.F.R. § 3.11.
- X 3. A copy of the Notice to File Missing Parts.
- X 4. Small entity status is asserted for this matter.

COMPUTATION OF FEE

|                    | Number<br>Filed |   | Number<br>Extra |   | Rate |       |
|--------------------|-----------------|---|-----------------|---|------|-------|
| Basic Fee          |                 |   |                 |   |      | 370   |
| Total Claims       | 11 - 20         | = | 0               | x | 9    | = 0   |
| Independent Claims | 3 - 3           | = | 0               | x | 42   | = 0   |
| Surcharge          |                 |   |                 | + | 65   | 65    |
| TOTAL              |                 |   |                 |   |      | \$435 |

B. Fees EnclosedEnclosed is our Check No. 133765 in the amount of \$435.00 to cover the requisite fees.

The PTO did not receive the following  
listed item(s) \_\_\_\_\_  
\_\_\_\_\_

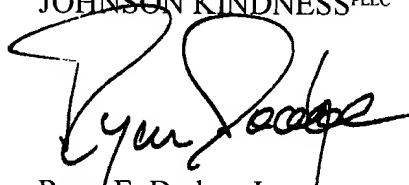
LAW OFFICES OF  
CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>PLLC</sup>  
1420 Fifth Avenue  
Suite 2800  
Seattle, Washington 98101  
206.682.8100

C. Additional Fee Charges or Credit for Overpayment

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.18 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 03-1740. This authorization also hereby includes a request for any extensions of time of the appropriate length required upon the filing of any reply during the entire prosecution of this application. A copy of this document is enclosed.

Respectfully submitted,

CHRISTENSEN O'CONNOR  
JOHNSON KINDNESS<sup>PLLC</sup>



Ryan E. Dodge, Jr.  
Registration No. 42,492  
Direct Dial No. 206.695.1724

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid and addressed to the Commissioner for Patents, Washington, D.C. 20231, on the below date.

Date: November 19, 2001



RED:mgp

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